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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on May 2, 2001.

Forney D.E. Perini  
Attorney for Applicant

PATENT

Docket No. 2807.2.14.5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John N. Hait )  
Serial No.: 09/753,503 )  
Filed: December 27, 2000 ) Art Unit  
For: SIGNAL-CONCENTRATING, PHOTONIC, ) 2874  
PULSE-SHAPING APPARATUS AND METHOD )

LETTER OF TRANSMITTAL

Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a newly executed Declaration, Power of Attorney and Petition;
- (2) a newly executed Declaration Claiming Small Entity Status for a Small Business Concern;
- (3) an Assignment and cover sheet; and
- (4) Form PTO-2038 authorizing credit card payment in the amount of Eight Hundred Twenty Dollars (\$820.00) to cover the filing and recordation fees for this application, as calculated below, together with the required surcharge under 37 C.F.R. § 1.16(e).

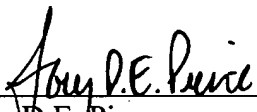
The filing fee for this application has been calculated, as follows:

Basic filing fee		\$355.00
Independent claims in excess of three	0 x \$40	\$0.00
Total claims in excess of twenty	40 x \$9	\$360.00
Surcharge		\$65.00
Recordation fee		<u>\$40.00</u>
TOTAL FEE		\$820.00

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

DATED this 2<sup>nd</sup> day of May, 2001.

Respectfully submitted,

  
\_\_\_\_\_  
Gary D.E. Pierce  
Attorney for Applicant  
Registration No. 38,019

Date: May 2, 2001

PATE PIERCE & BAIRD  
Bank One Tower  
50 West Broadway, Suite 900  
Salt Lake City, Utah 84101  
Telephone: (801) 530-5090



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,503	12/27/2000	John N. Hait	2807.2.14.5

CONFIRMATION NO. 2170

## FORMALITIES LETTER



\*OC000000005822177\*

Hal D. Baird  
PATE PIERCE & BAIRD  
Bank One Tower  
50 West Broadway, Suite 900  
Salt Lake City, UT 84101

Date Mailed: 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$720.
  - \$720 for 40 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1560.

05/09/2001 DTESSEM1 00000037 09753503

01 FC:201	355.00 DP
02 FC:205	65.00 DP
03 FC:202	360.00 DP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 06/22/2001	NLUANG	-360.00 DP
05/09/2001 DTESSEM1 00000037 09753503		
03 FC:202		
06/22/2001 NLUANG	00000004 09753503	360.00 DP
01 FC:203		